

Rev. 11/98

Modified PTO 1083  
Not For A Small Entity



PATENTS

Atty Docket No. BURST-15

Applicants : Mark O. Worthington et al.  
Application No. : 09/378,878  
Filed : August 23, 1999  
For : METHODS AND APPARATUS FOR ANALYZING  
OPERATIONAL AND NONOPERATIONAL DATA  
ACQUIRED FROM OPTICAL DISCS  
Group Art Unit : 2752  
Examiner : Not yet assigned

New York, New York 10020  
November 9, 1999

Box MISSING PARTS  
Hon. Assistant Commissioner  
for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;  
☐ a Supplemental Amendment; ☐ a substitute Specification; ☒ a Declaration;  
☐ a Supplemental Declaration; ☐ a Power of Attorney; ☐ an Associate Power of  
Attorney; ☐ formal drawings; to be filed in the above-identified patent  
application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR*		PRESENT EXTRA		RATE		ADDITIONAL
TOTAL CLAIMS	206	-	206	=	186	x	\$18	=	\$ .00
INDEPENDENT CLAIMS	13	-	13	=	10	x	\$78	=	\$ .00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM						+	\$260	=	\$ 0.00
								TOTAL	\$0.00

\* Filing fee being paid concurrently herewith.

- ☒ A check in the amount of \$4,888.00 in payment of the (filing) fee is transmitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☐ The following extension fee is applicable to the Response filed herewith:  
☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$380.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$870.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,360.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,850.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).
- ☐ A check in the amount of ☐ \$110.00 ☐ \$380.00 ☐ \$870.00 ☐ \$1,360.00 ☐ \$1,850.00 in payment of the extension fee is transmitted herewith.
- ☒ The Commissioner is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$110.00 ☐ \$380.00 ☐ \$870.00 ☐ \$1,360.00 ☐ \$1,850.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

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WASHINGTON, D.C. 20231 on

November 9, 1999  
Claire J. Saintil

[Signature]  
Signature of Person Signing

[Signature]

Brett G. Alten  
Registration No. 42,258  
Attorney for Applicants

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1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000



Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/378,878	08/23/99	WORTHINGTON	M BURST-15

0232/0909

BRETT G ALTEN  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
NEW YORK NY 10020-1104

NOT ASSIGNED

2752

DATE MAILED: 09/09/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$55.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 5015.00.

☒ 1. The statutory basic filing fee is:

☒ missing

☐ insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 3348.00 for 186 total claims over 20.

\$ 780.00 for 10 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

11/17/1999 TTRAN1 00000019 09378878

C. Moore  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105

760.00 OP  
780.00 OP  
3348.00 OP  
130.00 OP

5018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Mark O. Worthington et al.  
Application No. : 09/378,878  
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SUBMISSION OF EXECUTED  
DECLARATION and FILING FEE

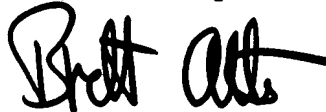
Sir:

Pursuant to 37 C.F.R. § 1.53(f), and in accordance with the requirement in the Notice to File Missing Parts dated September 9, 1999 (copy enclosed), submitted herewith is a declaration executed by the inventors in the above-identified patent application, as well as a check in the amount of \$4,888.00 in payment of the filing fee.

A check in the amount of one-hundred thirty dollars (\$130.00), in payment of the surcharge set forth in 37 C.F.R. § 1.16(e), is also enclosed. The Commissioner is hereby authorized to charge any additional surcharge due,

or to credit any overpayment, in connection with this Submission, to Deposit Account No. 06-1075. A duplicate copy of this Submission is enclosed herewith.

Respectfully submitted,



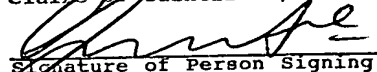
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Claire N. Saintil

  
Signature of Person Signing